

SOCIOLOGY 492/571: COMPARATIVE ETHNICITY & NATIONALISM  
PROFESSOR CATHERINE LEE  
SPRING 2006: MONDAYS 9:50 A.M. – 12:30 P.M.  
LUCY STONE HALL A256

**CONTACT INFORMATION**

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Political, social, and cultural movements rooted in conceptions of ethnicity or nationhood have greatly shaped our modern world. We continue to witness the significance of ethno-racial and nationalist politics and discourse. This course will introduce students to concepts and definitions of ethnicity and nationalism and historical and contemporary basis for their development and significance. We will also examine some exemplary research that will guide us to understand these phenomena and hopefully inspire us to engage in additional social scientific research. The readings will be drawn from theoretical and empirical investigations of the United States, Latin America, Europe, Asia, and Africa, in part to challenge dominant American notions of “race” or “ethnicity” and “nationhood.”

We will examine ethnicity and nation, like race, as categories of collective identity, recognizing that their putative meanings are open to cultural, social, and political contestations. This approach requires us to investigate ethnicity and nation, like race, as boundary-making processes, which is where we will begin our course. After we define and identify our concepts, we will examine the dominant theories that seek to explain the development of nationalism. With these conceptual and theoretical tools, we will investigate the various relations that ethnicity and nationalism have to religion, violence, gender and sexuality, post-colonialism, and immigration and citizenship. We will conclude the course by asking whether these ideas and movements have had their historical moment and whether we have entered a post-ethnic or post-nationalist era. What does the future hold?

**REQUIREMENTS**

This is a reading intensive course. The goal is for students to critically engage the materials so that they can begin to develop or strengthen their research interests tied to ethnicity and/or nationalism. Students will be evaluated by their performance in the following areas.

1) Weekly memos: Short, one-page memos that critically engage the readings. Do not summarize the materials but instead discuss the strengths and weaknesses and discover ways to make theoretical and/or empirical connections. Due every Saturday by 5 p.m. PRIOR to our class meeting – so that we all have sufficient time to read them in preparation for our class meetings. Please email the memos to me and to the rest of the class (email list will be generated).

I will not grade these memos although I will keep a record of your timely submission. You can take two exemptions from writing these memos. Email me by the deadline and indicate that you

are opting out. Failure to submit the minimum number of memos in a timely manner will result in a grade no higher than a “C” for the course.

2) Discussions: Lead and facilitate the weekly meetings with a short (15 – 20 minutes) presentation that will help set the agenda and pace of the class meeting. Depending on the number of students in the course, you may work in small groups. More details on how these discussions will be led will be given in class.

3) Research proposal or paper: I realize that many of you are at various stages of your training. For those of you at the start of your research, I encourage you to develop a research proposal. Those of you who have already begun research on related themes for qualifying papers or dissertation research are encouraged to write a short paper. All students should write at least 20 (but no more than 30) pages for the proposal or paper. PAPER IS DUE BY NOON ON MAY 5.

Students who are at advanced stages of research and/or writing will have opportunities to present their works in class if interested.

## **READINGS**

The following books have been ordered for the course and are available at the Livingston Bookstore. You can order them online (and save some money!).

Anderson, Benedict. 1991. *Imagined Communities*. Verso. [ISBN 0860915468]

Gellner, Ernest. 1994. *Nations and Nationalism*. Cornell University Press. [ISBN 0801492637]

Hutchinson, John and Anthony Smith (eds). 1995. *Nationalism*. Oxford University Press. [ISBN 0192892606]

The following was not ordered, but you will need to read significant portions of it. I recommend that you order it online.

Gerstle, Gary. 2002. *American Crucible: Race and Nation in the Twentieth-Century*. Princeton, Princeton University Press. [ISBN 0691102775]

In addition to these books, articles and chapters of books will be made available through electronic reserves. To access Electronic Reserves, go to the Rutgers University libraries website at [www.libraries.rutgers.edu](http://www.libraries.rutgers.edu), click on “Find Reserves” on the left panel, and choose “Reserves.” Enter “Lee,” click on “instructor,” select my name, and click on this course’s title. You can print these articles or read them online. If you are accessing the library system from off campus, you will have to log in first.

## **COURSE SCHEDULE**

### **Week 2: January 23**

#### *Introduction*

### **Week 3: January 30**

#### *Concepts and definitions*

Weber, Max. 1978 [1922]. *Economy and Society*, eds. Guenther Roth and Claus Wittich. Berkeley: University of California Press (pp. 385-398, 921-926).

Cornell, Stephen and Douglas Hartmann. 1998. *Ethnicity and Race: Making Identities in a Changing World*. Thousand Oaks: Pine Forge Press (pp. 15-38).

Eriksen, Thomas H. 1993. *Ethnicity and Nationalism: Anthropological Perspectives*. London: Pluto Press (pp. 1-17).

Horowitz, Donald L. 1985. *Ethnic Groups in Conflict*. Berkeley, CA: University of California Press (pp. 6-12, 55-64, 74-83).

Omi, Michael and Howard Winant. 1994. *Racial Formation in the United States: From the 1960s to the 1990s*. 2<sup>nd</sup> ed. New York: Routledge (pp. 9-23, 48-50, 53-76).

Loveman, Mara. 1999. "Is 'Race' Essential?" *American Sociological Review* 64(6): 891-98.

Wade, Peter. "The Meaning of 'Race' and 'Ethnicity'" (Chapter 1) in *Race and Ethnicity in Latin America*. London: Pluto Press.

Barbara Jean Fields. Fields, Barbara. 1990. "Slavery, Race and Ideology in the United States of America" *New Left Review* 181: 95-118.

Michael Banton. 1979 "Analytical and Folk Concepts of Race and Ethnicity." *Ethnic and Racial Studies* 2(2): 127-38.

### **Week 4: February 6**

#### *Concepts and definitions, part II*

Seton-Watson, Hugh. 1977. *Nations and States: An Enquiry into the Origins of Nations and the Politics of Nationalism*. Boulder: University of Colorado Press (pp.1-5).

Joseph Stalin, excerpt from "Marxism and the National Question" (pp. 18-21) in Hutchinson and Smith.

Deutsch, Karl. "Nationalism and Social Communication" (pp. 26-29) in Hutchinson and Smith.

Connor, Walker "A Nation is a Nation is a State is an Ethnic Group is a . . ." (pp. 36-46) in

Hutchinson and Smith.

Anderson, Benedict. *Imagined Communities* (pp.1-7).

Brubaker, Rogers. 1996. "Rethinking Nationhood: Nation as Institutionalized Form, Practical Category, Contingent Event" (Chapter 1) in *Nationalism Reframed: Nationhood and the National Question in the New Europe*. Cambridge University Press.

Calhoun, Crag. 1998. *Nationalism*. Minneapolis: University of Minnesota (pp.1-12, 18-28).

Hobsbawm, Eric. 1983. "The Invention of Tradition" in Eric Hobsbawm and Terence Ranger (eds.) *The Invention of Tradition*. Cambridge: Cambridge University Press (pp. 1-14).

### **Week 5: February 13**

*Modernist approaches to development of nationalism: socio-economic modernization*

Gellner, Ernest. *Nations and Nationalism*, (pp.1-75, 123-143).

Connor, Walker. "When is a nation?" (pp.154-159) in Hutchinson and Smith.

### **Week 6: February 20**

*Critique and debate: Ethno-symbolic vs. modernist approaches*

Smith, Anthony. "The Origins of Nations," in Hutchinson and Smith, (pp. 147-54).

Smith, Anthony. 1996. "Opening Statement: Nations and Their Pasts," *Nations and Nationalism* 2(3): 358-365.

Gellner, Ernest 1996. Reply. "Do Nations have Navels?" *Nations and Nationalism* 2(3): 366-70.

Smith, Anthony. "Memory and Modernity: Reflections on Ernest Gellner's Theory of Nationalism." *Nations and Nationalism* 2(3):371-88.

Ozkuirimli, Umut. 2003. "The Nation as an artichoke? A Critique of Ethnosymbolist Interpretations of Nationalism," *Nations and Nationalism* 9(3): 339-55.

Smith, Anthony. "The Poverty of Anti-Nationalist Modernism," (reply to Ozkuirimli) *Nations and Nationalism*. 9(3): 357-70.

### **Week 7: February 27**

*Modernist approaches to development of nationalism: the state and political modernization*

Hobsbawm, Eric. 1990. *Nations and Nationalism Since 1780: Programme, Myth, Reality*. Cambridge: Cambridge University Press, (pp. 80-100).

Keating, Michael. 1997. "Stateless nation-building: Quebec, Catalonia and Scotland in the changing state system." *Nations and Nationalism* 3(4): 689-717.

Meyer, John. 1980. "The world polity and the authority of the nation-state," in A. Bergesen (ed.) *Studies of the Modern World System*. New York: Academic, (pp. 109-37).

Mann, Michael. 1995. "A political theory of nationalism and its excesses," in Sukumar Periwal (ed.) *Notions of Nationalism*. Budapest: Central European University Press.

Calhoun, Craig. "State, Nation and Legitimacy" (Chapter 4) *Nationalism*.

Breuilly, John. 2001. "The State and Nationalism" in Montserrat Guibernau and John Hutchinson (eds.) *Understanding Nationalism*. Cambridge: Polity Press.

### **Week 8: March 6**

*Modernist approaches to development of nationalism: cultural modernization and constructing imagined communities*

Anderson, Benedict. *Imagined Communities*, (pp. 1-185).

Chatterjee, Partha. 1993. "Whose imagined community?" in *The Nation and its Fragments: Colonial and Post-Colonial Histories*. Princeton: Princeton University Press (pp. 3-13).

### **Week 9: March 13**

*Spring break – no meeting*

### **Week 10: March 20**

*Religion, ethnicity, and nationalism*

Juergensmeyer, Mark. 1993. *The New Cold War? Religious Nationalism Confronts the Secular State*. Berkeley: University of California Press (Chapters 1 & 2).

Friedland, Roger. 2001. "Religious Nationalism and the Problem of Collective Representation." *Annual Review of Sociology* 27:125-52.

van der Veer, Peter. 1994. *Religious Nationalism: Hindus and Muslims in India*. Berkeley: University of California Press (Chapter 1).

Harry Harootunian, "Memory, mourning, and national morality : Yasukuni shrine and the reunion of the state and religion in postwar Japan," in Peter van der Veer and Hartmut Lehman (eds.) *Nation and Religion: Perspectives on Europe and Asia*. Princeton University Press, 1999.

Talal Asad, "Religion, nation-state, secularism," in Peter van der Veer and Hartmut Lehman (eds.), *Nation and Religion: Perspectives on Europe and Asia*. Princeton University Press, 1999.

**Week 11: March 27**

*Violence, ethnicity, and nationalism*

Brubaker, Rogers and David D. Laitin. 1998. "Ethnic and Nationalist Violence." *Annual Review of Sociology* 24:423-52.

Horowitz, Donald L. 2001. *The Deadly Ethnic Riot*. Berkeley: University of California Press. (Chapters 1 & 13).

Fearon, James D. and David D. Laitin. 2003. "Ethnicity, Insurgency, and Civil War." *American Political Science Review* 97 (1): 1-16.

Wimmer, Andreas. 2004. "Toward a New Realism" in Andreas Wimmer et al. (eds.) *Facing Ethnic Conflict: Toward a New Realism*. Lanham: Rowman and Littlefield.

**Week 12: April 3**

*No class this week*

**Week 13: April 10**

*Gender, sexuality, and nationalism*

Yuval-Davis, Nira. 1997. *Gender and Nation*. Thousand Oaks: Sage Publications (pp. 1-25).

McClintock, Anne. "'No Longer in a Future Heaven': Nationalism, Gender, and Race," in edited Geoff Eley and Ronald Grigor Suny (eds.) *Becoming National: A Reader*. New York: Oxford University Press.

Wilford, Rich. 1998. "Women, Ethnicity and Nationalism: Surveying the Ground," in Rick Wilford and Robert L. Miller (eds.) *Women, Ethnicity and Nationalism*. New York: Routledge.

Stoler, Ann. 1992. "Sexual affronts and racial frontiers: European Identities and the Cultural Politics of Exclusion in Colonial Southeast Asia," *Comparative Studies in Society and History* 34(3): 514-51.

**Week 14: April 17**

*Post-colonialism and nationalism*

Young, Crawford. "The Colonial Construction of African Nations," pp. 225-231 in Hutchinson and Smith.

Grillo, Ralph. 1998. "Ethnic and Cultural Pluralism in the Colonial Social Order" (Chapter 5) in *Pluralism and the Politics of Difference: State, Culture and Ethnicity in Comparative Perspective*. New York: Oxford University Press.

Wimmer, Andreas. 1997. "Who Owns the State? Understanding Ethnic Conflict in Post-colonial Societies." *Nations and Nationalism* 3(4): 631-65.

**Week 15: April 24**

*The U.S.: challenges posed by race and immigration to citizenship and nationhood*

Gerstle, Gary. 2002. *American Crucible: Race and Nation in the Twentieth-Century*. Princeton, Princeton University Press (selected chapters).

Brubaker, Rogers. 1992. "Citizenship as Social Closure" (Chapter 1) in *Citizenship and Nationhood in France and Germany*. Cambridge: Harvard University Press.

Joppke, Christian. 1999. "How Immigration is Changing Citizenship: A Comparative View," *Ethnic and Racial Studies* 22(4): 629-52.

**Week 16: May 1**

*Whither ethnicity and nationalism? Post-ethnic, post-nationalist future?*

Katherine Verdery. 1996. "Whither 'Nation' and 'Nationalism'?" in Gopal Balakrishnan (ed.) *Mapping Nationalism*. London: Verso Books.

Hollinger, David. 1995. *The Ethnos, the Nation, the World in Postethnic America: Beyond Multiculturalism*. New York: Basic Books (Chapter 6).

Soysal, Yaesmin. *Limits of Citizenship: Migrants and Postnational Membership in Europe*. Chicago: University of Chicago Press (Chapters 1 & 8).

Smith, Anthony. "In Defense of the Nation," in Anthony Smith (ed.) *Nations and Nationalism in a Global Era*. Cambridge: Polity Press.

**\*\*\* FINAL PAPER DUE MAY 5 BY NOON \*\*\***